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PATENT
Docket No. 27475-07001

UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of Sheek, et al.

U.S. Serial No.: 10/055,301

Filed: 1/23/2002

For: CORROSION AND ABRASION RESISTANT
DECORATIVE COATING

COMMISSIONER FOR PATENTS

P.O. BOX 1450

ALEXANDRIA, VIRGINIA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed except for: (a) pending applications or (b) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. 120:

Serial No.: _____
Filing Date: _____

Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise.

2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):

- [x] (a) Copy of English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
- [] (b) Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents". (See attached International Search Report).

3. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed:

- [] (a) Within 3 months of the filing date or date of entry into the National Stage.
- [] (b) Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required

certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 03-0172 for consideration of this Statement.

- ☒ (c) After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance.

☒ (1) The required certification is given below, or

☐ (2) Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 03-0172.

- ☐ (d) After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below.

☐ (1) Charge the fee set forth in 37 C.F.R. 1.17(i)(1) to Deposit Account No. 03-0172.

4. Certification (if applicable)

- ☒ (a) The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.

- ☐ (b) The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Statement.

5. The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 03-0172.

Respectfully submitted,



Warren M. Haines II
Attorney for Applicants
Reg. No. 40,632

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I hereby certify that this SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT is being deposited on this, the 13th day of January, 2004, with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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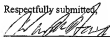
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
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Respectfully submitted,


Warren M. Haines II
Attorney for Applicants
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Substitute for Item 14-69/PTQ

**SUPPLEMENTAL
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet	1	of	•	2
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Complete if Known

Application Number	10/055,301
Filing Date	1/23/2002
First Named Inventor	Sheek, et al.
Art Unit	1775
Examiner Name	John J. Zimmerman
Attorney Docket Number	27475/07001

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Class No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant: Passages Or Relevant Figures Appear	†
		Country Code* Number** Kind Code* (if known)				
		EP 0875 598 A1	04-11-1998	Sage et al.		
		JP 2000 303163 A	05-02-2001	Nippon Paint Co. Ltd.		
		JP 11 2311125 A	11-30-1999	Heys Corp.		

Examiner Signature	Date Considered
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EXAMINER: Initial if reference considered, whether or not chosen is in conformance with MPEP 904.02. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique claim designation: through (application), 5. See "Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. * Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. * Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending on the individual. A notice of burden on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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JAN 16 2004
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Complete if Known

Application Number	10/055,301
Filing Date	1/23/2002
First Named Inventor	Sheek, et al.
Art Unit	1775
Examiner Name	John J. Zimmerman
Attorney Docket Number	27475/07001

Sheet	2	of	2
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Sheet	2	of	2
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[illegible]

Examiner Signature		Date Considered	
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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.56. The information is required to obtain or retain a benefit by the public which its file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the collection of information should be directed to the Office of Management and Enterprise Services, Paperwork Reduction Project (37 CFR 1.56), Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20235. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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